

Mosin Sayyad

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DOB: 17 December 1996

Professional Summary

Portfolio compliance professional with over 8.5 years of experience across investment banking and portfolio compliance. Background includes post-trade monitoring, investment oversight, rule coding support, and compliance operations for UCITS (Luxembourg funds) and US pension funds under the 1940 Act. Experienced in compliance projects, application migrations, and working with multiple compliance platforms.

Technical Skills

OMS: Charles River Development (CRD/CRIMS), Modern Investment Guidelines (MIG), Aladdin (BlackRock), Sentinel

Applications: UnifyIC (Deloitte), Bloomberg, Eagle-Star, Index/Benchmark reference data applications, JIRA

Professional Experience

Company	Role	Years	Location
State Street Corporate Services Mumbai Pvt. Ltd.	Assistant Manager	April 2025 - Present	Pune
Invesco (India) Pvt. Ltd.	Compliance Analyst	Nov 2023 - April 2025	Hyderabad
State Street Global Advisors India Pvt. Ltd. (SSGA)	Senior Associate	Nov 2021 - Nov 2023	Bangalore
Hexaware Technologies Ltd. (BPS)	Senior Analyst	July 2019 - Nov 2021	Pune
State Street Syntel Services Pvt. Ltd.(4SPL)	Associate KPO	Nov 2017 - July 2019	Pune

Assistant Manager | State Street Corporate Services Mumbai Private Limited, Pune

April 2025 - Present

- Supported the investment compliance team through rule coding activities (regulatory and client guideline monitoring through rule logic).
- Coded new rules and modified existing rules, including testing, validation, and updates on CRD (CRIMS) OMS.
- Handled new fund launches, including setup, rule coding, attaching and detaching rules, and related requirements.
- Created templates and managed account additions and deletions in existing templates.
- Created lists for security, issuer, country, and related categories, and managed additions and deletions in existing lists.
- Updated test limits and attached or detached rules for required accounts.
- Handled requests and tickets through JIRA.

Compliance Analyst | Invesco (India) Private Limited, Hyderabad

Nov 2023 - April 2025

- Performed daily batch monitoring (post-trade) by addressing compliance alerts and warnings as part of overnight compliance.
- Notify breaches, prepare and review documentation, and followed up with stakeholders to ensure rectification and Responded to team queries
- Maintained rules on Charles River Development (CRD) with UnifyIC.
- Tested coding and assisted with updates where required.
- Handled ad hoc requests, including adding or removing accounts from templates and rules, updating restricted, region, and exchange lists, and activating or deactivating rules as required.

Senior Associate | State Street Global Advisors India Private Limited (SSGA), Bangalore

Nov 2021 - Nov 2023

- Monitored post-trade alerts and warnings, analyzed breaches, reviewed rule coding, and coordinated with support teams to update coding in Sentinel, Aladdin, and CRD.
- Updated and reviewed restricted lists across attributes such as ISIN, security, issuer, and country based on client requirements.
- Reviewed and marked Investment Management Agreements (IMA), Statements of Additional Information (SAI), and prospectuses; updated existing rules and created new rules where required.
- Monitored text-based non-coded rules and prepared daily, weekly, and monthly production reports.
- Supported junior team members in day-to-day activities and conducted training for new joiners.
- Allocated tasks in the absence of the team lead and ensured timely sign-off to regional teams.

Senior Analyst | Hexaware Technologies Ltd. (BPS), Pune

July 2019 - Nov 2021

- Performed performance and compliance monitoring for UCITS and 1940 Act funds.
- Monitored UCITS investment policies and prospectus guidelines built by investment managers.
- Analyzed alerts and warnings, identified causes of breaches, and reported conclusions on active or passive breaches to onshore teams.
- Investigated breaches using Portfolio Valuation, Trade Journal, Transaction Journal, NAV Summary, and Pricing reports.
- Worked with Charles River Development (CRD), Bloomberg Terminal, and client reporting applications.
- Cleared data exceptions at security and fund level in CRD after reconciliation with Bloomberg and client reports.
- Simplified client prospectuses and prepared prospectus checklists for coding reference.

Associate KPO | State Street Syntel Services Private Limited (4SPL), Pune

Nov 2017 - July 2019

- Reconciled fund variances using State Street applications including MCH, MIG, MYSS, and Bloomberg.
- Analyzed data, calculated portfolio market values, and compared gaps across database systems.
- Monitored corporate actions related to securities connected with similar rules.
- Monitored investment manager guidelines for different portfolios.
- Researched data exceptions using Bloomberg and other relevant applications.
- Reported discrepancies in assets or market value to the Boston-based manager and resolved daily exceptions for individual funds.
- Performed compliance audits for respective clients.
- Created daily, monthly, and quarterly compliance backups.

Education

Examination	School/College and University	Percentage	Passing Year
BBA	Rani Channamma University, Belgaum	82%	May 2017
PUC II	PUC Board, Bangalore	79%	March 2014
SSLC	SSLC Board, Bangalore	64%	April 2012